

PRIVACY POLICY

Last Updated: April 28, 2026

This page highlights some of the key elements of our Privacy Policy. Both this page and our Privacy Policy apply to www.conning.com, the official web site of Conning Holdings Limited and any other online resources we publish for our various products and services that may contain a link to this policy. Our Privacy Policy, contained in the pages that follow, serves to give notice about the types of personal information we collect, how we use it, who we share it with and why, and what we do to try to protect it. We delve into those matters in a fair amount of detail in the pages that follow. We encourage you to read them carefully.

OUR COMMITMENT TO YOU

Conning Holdings Limited, (which may be referred to as “our,” “we,” or “us” “Conning,” as applicable) is committed to safeguarding the use of your Personal Data that we have. Conning makes reasonable efforts to protect the security and confidentiality of the Personal Data we have and to ensure that such information is used for proper business purposes in connection with the management or servicing of your account. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything we can to maintain that trust. Details of our approach to privacy and how your Personal Data is collected and used are set forth in this privacy policy.

This Privacy Policy was amended as of April 28, 2026, and is effective as of that date. The English language version of this Privacy Policy is the controlling version regardless of any translation you may attempt. We will occasionally update this Privacy Policy to reflect new legislation or industry practice, company changes and customer feedback. We encourage you to review this Privacy Policy periodically to be informed of how we are protecting your Personal Information.

NAVIGATING THROUGH THIS POLICY

You can use the links below to navigate to areas of this policy that apply specifically to you, or which may otherwise be of interest:

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This privacy policy (“**Privacy Policy**”) explains how Conning collects, uses, shares, and safeguards information about you when you engage with Conning, or you use the www.conning.com website and any associated content and materials available in connection with the website or other client portals (collectively, the “**Site**”).

- If you are (or were, at the time we collected your personal data) located in the United Kingdom (“**UK**”), the European Economic Area (“**EEA**”) and/or Switzerland should refer to our UK/EEA privacy policy [here](#), for additional information about our processing of your personal data. For staff members located in the UK, EEA or Switzerland, please refer to the staff privacy notice provided on our intranet.
- Residents of Hong Kong and data subjects whose personal information was obtained while in Hong Kong have certain additional rights. You can read about those [here](#).
- Residents of Mainland China and data subjects whose personal information was obtained while in Mainland China have certain additional rights. You can read about those [here](#).

We reserve the right to make changes to this Privacy Policy at any time and for any reason. Any changes will be reflected in a revised policy posted on the Site and we will alert you that there has been a change by updating the “Revised” date displayed on the Privacy Policy. If Conning determines that such changes materially affect your privacy rights, we will provide additional notice to you.

SOME IMPORTANT VOCABULARY

Although not itself a contract, this Privacy Policy is an important document that explains how we address some of our legal obligations, and your related legal rights, involving personal information. We’ll use this section to let you know about some words that have special meanings whenever you see them in this policy. Let’s start with the word “**policy**”, we mean the Privacy Policy you are reading now. Wherever we say “**Conning**”, “**we**”, “**us**”, or “**our**”, we mean Conning Holdings Limited and the following direct and indirect subsidiaries of Conning Holdings Limited: Conning & Company, Conning, Inc., Goodwin Capital Advisers, Inc., Conning Investment Products, Inc., Conning Asset Management Limited, Conning Asia Pacific Limited, Conning (Germany) GmbH and Pearlmark Real Estate, L.L.C. (and its subsidiaries). We use the words “**you**” and “**your**” to mean you, the reader, and other visitors to our online resources who are, in all cases, over the age of 18. This age requirement is discussed in more detail later in this policy [here](#).

When we talk about our “**online resources**”, we mean all websites, portals or other features we operate to allow you to interact with us and our systems, as well as the mobile apps we’ve created and distributed to let you interact with the content we provide. When we use the term “**vendors**” we mean it to include all analogous terms under the data privacy and security laws applicable to us such as “processors” and “sub-processors” under the European General Data Protection Regulation 2016 (“**GDPR**”), the GDPR as it forms part of the law of England and Wales, Scotland and Northern Ireland by virtue of section 3 of the European Union (Withdrawal) Act 2018 (“**UK GDPR**”) and the UK Data Protection Act 2018 (“**DPA**”), “data user” and “data processor” under the Personal Data (Privacy) Ordinance (“**PDPO**”).

Lastly, when we refer to “**personal information**” or “**Personal Data**”, we mean information that can be used to identify or easily be linked to you. The privacy laws in some jurisdictions include unique elements in what they consider to be the personal information or personal data of the data subjects they protect. If those laws apply to us, as in the case of GDPR, DPA or PDPO, then, when the context requires, our use of the phrase “personal information” includes personal data and the unique elements required by such laws.

COLLECTING YOUR INFORMATION

We may collect information about you in a variety of ways but generally speaking it is done either through (1) the personal information we receive during the course of providing our service or (2) you visiting our websites or client portals. The types of information we may collect include, but are not limited to:

Information You Provide To Us. This is Personal Data you choose to provide in connection with completing an online form, providing content on the Site, or other activities in which you participate on the Site, including your name, email address, and other contact details; employer information, issues of interest (to the extent that this amounts to Personal Data) payment details and billing information.

Financial Information. To ensure that the advice and/or products Conning provides you are appropriate for you and the investments you purchase are suitable for you we may also collect details of the transactions you conduct with Conning, subscriptions or holdings with a Conning entity or a Conning affiliate that you have made or initiated.

Compliance Information. We may collect Personal Data which establishes your identity, such as government ID, or information about you from third parties, related to fraud, offences, suspicious transactions, politically exposed person and sanctions lists where your details are included.

Information We Collect About You. Information our servers automatically collect when you visit, use, or browse the Site, such as your IP address, your operating system, browser version, the address of a referring website, the pages you visit on the Site, the dates and times you visit the Site, device and usage information, such as language preferences, referring URLs, country, location, information about how and when you use our services and other technical information. If you access the Site from a mobile device, information about the type of mobile device you use.

Legal Obligation. If you visit our offices or call our employees, where Conning has a legal obligation to do so, it will monitor or record meetings with/calls to Conning's trading desk, business development team and investment team to establish a record of its communications as required by applicable law or regulation. If you do not wish to have your calls/meetings recorded, you have other options to conduct business with Conning such as online, or by contacting Conning in writing. Conning (or site management companies) may record (or receive recordings of) CCTV footage in and around Conning's premises and other locations for the safety of Conning's clients and employees, and to protect against theft, property damage and fraud.

Additional Information. Conning and other organizations may access and use certain information to prevent fraud, money laundering and terrorism as may be required by applicable law and regulation and best practice at any given time, including checking against sanctions, politically exposed persons (PEP) and other fraud or crime screening databases. If false or inaccurate information is provided and fraud is identified or suspected, details may be passed to fraud prevention agencies and may be recorded by Conning or by them. In the course of providing these services, Conning may receive sensitive data such as criminal history, government identifiers, and data revealing racial or ethnic origin, religious beliefs, mental or physical health condition or diagnosis, sex life, sexual orientation or citizenship or immigration status.

Visitors and Users of our Online Resources

If you visit and/or use our online resources, we collect and retain a very limited amount of personal information through automated/technical means. In addition to that, if you choose to participate in, or make use of certain activities and features available via our online resources, you will need to provide us with information about yourself. We describe that type of voluntary submission immediately below. ***By using our online resources, you are signifying to us that you agree with this section of our policy and that we may use and disclose your information as described.***

Voluntarily Submitted Information.

Here are some of the ways you voluntarily give us your personal information. The types of personal information you will be submitting to us in these situations is almost always limited to identifiers such as your name, email address, mailing address and phone number.

- **Emails, Texts and Online Forms** – If you choose to send us an email from our “contact us” link or a similar link, or fill out an online form, you will be giving us your email address and any other personal information that may be in your message or attached to it. The same is true if you send us a text message.
- **Creating Accounts; Signing up for Newsletters** – If we make an account creation feature available to the general public (that is, to visitors/users who are not our customers or workforce members) you will be giving us at least your email address and potentially other identifiers. The same is true if you sign up to receive a newsletter or other informational or marketing material we publish.

- **Purchasing Certain Products and Services** – When you decide to purchase certain products or service from us, such as one of our publications or subscriptions, you will need to provide us with certain information such as your name, address, email address and financial information in order to process your request.
- **Registering for Events** – When you register for events, conferences or programs we ourselves may host (rather than outsource to a third party event manager with its own privacy policies), you will be submitting the types of identifiers described above. If the event requires a fee, we may also ask you to submit credit card or other financial information.
- **Social Media and Community Features** – Some of our online resources may offer social media-like community features letting users post or upload messages, comments, and/or image or other files and materials. If you choose to make use of these features the information you post, including your screen name and any other personal information, will be in the public domain and not covered/protected by this policy.
- **Customer Portals and Job Applicants** – Some of our online resources are used to help us serve our customers and allow candidates to apply for available jobs. We discuss personal information submitted for our subscriber online resources elsewhere in this policy.

If you prefer that we not receive the above-described personal information, please don't submit it. This means you shouldn't participate in the applicable activities or use the applicable features available from our online resources. Such participation and use is strictly your choice. By not participating, you may limit your ability to take full advantage of the online resources, but most of the content in our online resources will still be available to you.

Automatically Collected Information.

When you visit or use our online resources, basic information about your internet/electronic activity is automatically collected through your browser via tracking technologies, such as "cookies." Cookies are small text files downloaded onto your computer or mobile device. Cookies allow us to collect your IP address and recognize your computer or mobile device and store some information about your preferences for using our online resources or past actions, such as:

- the type of browser and operating system you use
- the date and time and length of your visit
- the pages visited, graphics viewed and any documents downloaded
- links to other sites you accessed from our online resources or used to navigate to our online resources

To learn more about our use of cookies, please review our Cookie Policy found [here](#).

Our Customers

We necessarily collect a lot more personal information from our customers. Customers for certain products and services enter into contracts with us. That contract is separate from this policy and has its own terms and conditions for notice of collection and governing our overall confidentiality, data privacy and data security obligations. As a result, those terms, and not this policy, apply to the personal information of customers.

Our Workforce and Job Applicants

We collect and retain the types of professional or employment related personal information you would expect an employer to have about its workforce such as name, age, home address, and personal information for payroll, tax and benefits. When the law allows or requires (such as for compliance with equal opportunity/non-discrimination laws) we may also collect characteristics of protected classifications such as race, gender, and ethnicity. Similarly,

when someone applies for an open job position, including via portals or other online resources, we collect the personal information we need, and which the law allows, to evaluate their applications.

We provide notice of what personal information we collect from our workforce/applicants in our confidential human resources manuals and other documentation, or on the proprietary apps and portals we operate for such purpose doing so via confidential Conning terms and conditions published thereon. In some cases, portals and apps may be operated by third parties who transfer the personal information to us. In those situations, the legal responsibility to provide notice usually rests with the third party, not us. You can read about how we use the personal information we collect from our workforce and job applicants [here](#).

Vendors

Like all large corporate enterprises, we buy goods and services, lease equipment and office space and attend industry events. In doing so, we interact with many existing and potential vendors from whom we necessarily collect certain personal information in connection with our contractual and business relationships. Typically, the categories of personal information collected in those cases will be limited to what is often referred to as “minimum business contact information” such as name, business title, business address and business email. As a result, if legally required, we make a reasonable effort to provide notice at the point of collection, or address the question of notice in the applicable business contract.

USING YOUR INFORMATION

We use the Personal Data collected for the following purposes (and any additional purposes described in any local privacy notice that is applicable to you, as described above):

To Provide and Manage Products and Services You Have Requested. To administer Conning’s services, including to carry out its obligations arising from any agreements entered into between you and Conning, or to notify you about changes to Conning’s services and products. Conning periodically offers the contact information of its core asset management clients for the opportunity to be invited to attend conferences.

To Understand Our Clients and to Develop and Tailor Our Products And Services. Any information collected about you via the Site may be used to: help increase the efficiency and operation of the Site; deliver and improve our products and services; manage our business; monitor and analyze usage and trends to improve the Site and the user experience; generate a personal profile of you to make future visits to the Site more personalized; perform the functions and services you request; send you confirmations, updates, alerts, administrative messages, and otherwise facilitate your use of the Site; request feedback and contact you about your use of the Site; respond to your emails; compile anonymous statistical information and analyses, which we may use internally and/or provide to third parties; to support our customers and their interactions with you through our Services; market our services to you that we believe may be of interest to you; and deliver information to you that you request or that we think may be of interest to you, such as articles, news, or information about various promotions.

To Comply With Legal or Regulatory Requirements. Conning may process your Personal Data to comply with its regulatory requirements or dialogue with its regulators or defend or prosecute claims as applicable which may include disclosing your Personal Data to third parties, the court service and/or regulators or law enforcement agencies in connection with enquiries, proceedings or investigations by such parties anywhere in the world.

SHARING YOUR INFORMATION

We may share your information with our affiliates and/or third parties that perform services for or on our behalf this includes: (a) in the course of providing services to you, (b) to perform analytics on website and/or web form engagement, (c) when it is shared as part of a transfer of assets, (d) when it is shared as part of targeted advertising or cross-contextual advertising, (e) for security and fraud purposes, (f) when we are required by law to share it and (g) where we wish to consult our professional advisors, as more fully described below and in any local privacy notice that may apply to you.

Services. To perform its services as required under your client, business partner or vendor contract with Conning, Conning may share your Personal Data for the following purposes: (a) IT services, (b) accounting and billing, (c) background checks and vetting, (d) marketing, and (e) Site security.

Analytics. We may allow selected service providers or processors, such as to use tracking technology on the Site, which will enable them to collect information about how you use the Site over time. This information may be used to, among other things, analyze and track data, determine the popularity of certain content, and better understand your online activity.

Transfer of Assets. If we reorganize or otherwise transfer some or all of our assets to another organization (such as in the course of a merger, dissolution, or liquidation), your information may be shared with the transferee; however, we will ask the transferee to honor commitments we made in this Privacy Policy.

Targeted Advertising. Conning does not sell your data in exchange for monetary consideration. In order to support tailored advertisements, offers, or content that you may find interesting when you browse the internet, Conning shares certain Personal Data with our trusted third party partners that support online advertising, data co-operatives, mobile advertising, data analytics, social media engagement, data brokers advertising networks or any other activity that may be considered cross-behavioral advertising. This transfer could be defined as a sale, sharing for cross-context behavioral advertising or targeted advertising.

Security and Fraud. We allow third parties to receive Personal Data from our users to provide both security and fraud protection to Conning. We do not control these third parties or how it is used.

Required By Law. We may share your information with legal, regulatory and/or law enforcement agencies, anti-fraud organizations and/or our advisors as permitted or required by any applicable law in response to a subpoena or other legal process or as we deem necessary to investigate or remedy any actual or potential violation of the law, our policies or to protect the rights, property, and safety of www.conning.com or others, as we deem appropriate (for example, for the detection and prevention of fraud).

Global Relay App. When using the GlobalRelay App and providing your mobile number (e.g. on a business card, or verbally in a meeting or during a call), Conning will confirm that you consent to be contacted via text message. Please note that if you consent to receiving text messages, message and data rates may apply. If you initiate a text message with a Conning employee, your consent to receive text messages from that employee is implied. You can opt out by texting STOP at any time, or by contacting the employee by email. You may also opt-out by contacting Conning's Chief Compliance Officer at the number listed below. Message frequency may vary. Conning intends to use text messaging only for conversational or informational purposes (e.g. to confirm meetings or respond to your queries), and not as part of marketing campaigns. You can text HELP for support or more information. We will not share your mobile number, nor will we share your consent to receive text messages, with third parties for marketing purposes.

SECURITY OF YOUR INFORMATION

While we take reasonable steps to protect any personally identifiable information you choose to provide via the Site from unauthorized access, please be aware that, despite our efforts, no security measures are perfect or impenetrable, and no method of data transmission can be guaranteed against any interception or other type of misuse. Any information disclosed online can potentially be intercepted and used by unauthorized parties, so please keep this in mind when disclosing any personal or personally identifiable information via the Site. To help ensure security via the Internet, we recommend you keep your browser up to date with automatic security updates enabled.

POLICY FOR CHILDREN

We do not use the Site to solicit information from or market to children under the age of 18. By using the Site, you represent that you are at least 18 years of age. We encourage parents and legal guardians to monitor their children's internet usage and to instruct their children never to provide Personal Data through the Site or any other website without parental consent. If you believe a child has provided Personal Data to us via the Site, please contact us and we will use reasonable efforts to locate and delete the information.

LINKS TO OTHER WEBSITES AND THIRD PARTY PRACTICES

The Site may contain links to third party websites. It is our intent to provide links only to other quality websites. However, we have no control over these linked websites or, for that matter, any third parties. Any information collected by websites, other than the Site, is not covered by this Privacy Policy. We are not responsible for the content or privacy and security practices and policies of any third parties, including other websites that may be linked to or from the Site. We encourage you to read the provisions of privacy policies on other websites before providing them with your Personal Data.

EXERCISE YOUR PRIVACY RIGHTS

Conning takes privacy seriously. Where applicable, data subjects have certain rights which they can request for Conning to fulfill. These requests can be made by either the data subject or an authorized agent. These rights include (subject to any different provision in a local privacy notice that may apply to you, as described above):

- A. The Right to Know.** You have the right to request that Conning disclose the personal data that Conning has collected about you;
- B. The Right to Amend.** You have the right to correct any information that Conning stores about you;
- C. The Right to Delete.** You have the right to request that Conning delete information that it maintains about you, subject to certain exceptions; and
- D. The Right to Opt Out Of Your Personal Data Being Sold or Shared.** You may opt-out of Conning sharing or selling your information.

MARKETING AND OTHER CORRESPONDENCE

If we are using your personal information to send you marketing materials (other than for the Conning Library Website or Conning Software Documentation Website), such as newsletters or product alerts via text or email, you may opt out by following the opt-out instructions described in those materials.

Please note that some of our online resources allow the use of credit cards to pay for services. These credit card transactions are processed by a third party vendor and at no time does Conning have access to the personal information you submit for these credit card transactions, nor does Conning store any personal information related to these credit card transactions.

Please see any local privacy notice that may apply to you (as described above) for more information about direct marketing and your rights in relation to it.

CLIENT SUBSCRIBER PORTALS

Where Conning has given you (or where you have chosen) a password which enables you to access certain parts of Conning's websites or client portals, you are responsible for keeping this password confidential and for complying with any other security procedures of which you have been notified by Conning. To protect your Personal Data, Conning also asks you not to share a password with anyone.

In addition to the opt-out procedures described above, please follow these opt-out procedures for the Conning Insurance Research Library Website and Conning Software Documentation Website as provided below. In addition to opting out, you have the ability to access, amend and delete your personal information by contacting us using the contact information below. Please use the procedures described below to access and amend your information for the Conning Insurance Research Library Website and Conning Software Documentation Website.

Conning Insurance Research Library Website

If you provided your personal information for access to the Conning Insurance Research Library Website (and opted-in for residents of the UK, EEA, Switzerland or Hong Kong), you may instruct us at any time not to use your personal information to provide you with information, special offers or promotions distributed or offered by the Conning Insurance Research Library Website. To do so, please email us at Questions@ConningResearch.com and include the following information in your request: first name, last name, and email address. Please note that this opt-out process may take up to 30 days to complete and you may still receive materials for a period of time

after you opt out and afterwards you will no longer remain an active user on the Conning Insurance Research Library Website.

If you have provided personal information in the past in connection with the Conning Insurance Research Library Website, publications, products and/or services and would like to access and update this information or render your Insurance Research Library Website account inactive, please send an email to Questions@ConningResearch.com and include the following information with your request: first name, last name, and email address.

Conning Software Documentation Website

If you provided your personal information for access to the Conning Software Documentation Library Website (and opted-in for residents of the UK, EEA, Switzerland or Hong Kong), you may instruct Conning at any time not to use your personal information to provide you with information, special offers or promotions distributed or offered by the Conning Software Documentation Website. To do so, please email us at softwaredoclibrary@conning.com and include the following information in your request: first name, last name, and email address. Please note that this opt-out process may take up to 30 days to complete and you may still receive materials for a period of time after you opt out.

If you have provided personal information in the past in connection with the Conning Software Documentation Library Website, publications, products and/or services and would like to access and update this information or render your Conning Software Documentation Library Website account inactive, please send an e-mail to softwaredoclibrary@conning.com and include the following information with your request: first name, last name, and email address.

While Conning will make reasonable efforts to accommodate your request, Conning also reserves the right to impose certain restrictions and requirements on such access requests, if permitted or required by applicable laws. Conning may decline to process any requests that are frivolous/vexatious, jeopardize the privacy of others, are extremely impractical, or for which access is not otherwise required by local law. Conning does retain personal information from inactive accounts to comply with law, prevent fraud, collect any fees owed, resolve disputes, enforce the website terms of use and click-through agreements which incorporate this policy and to facilitate audits.

Some browsers have a “do not track” feature that lets you tell websites that you do not want to have your online activities tracked. At this time, we do not specifically respond to browser “do not track” signals.

RETENTION PERIOD

Conning only stores Personal Data for as long as it is required. This is determined by considering the purposes for which it was obtained in accordance with applicable laws. Our retention period is based on (1) the nature of our relationship with the data subject and (2) any legal obligations we are bound to fulfill. Our retention periods may vary between individual jurisdictions. Please see any local privacy notice that may apply to you (as described above) for more information.

CONTACTING US

Please see any local privacy notice that may apply to you (as described above) for more information about our contact details.

Otherwise, in order to exercise any of the rights detailed above, please contact us at 860-299-2020. We will use your email as proof of verification unless otherwise prohibited. Additionally, you may contact us using the following toll-free number: 860-299-2000 or by email at <https://www.conning.com/about-us/contact-us>. Exercising your rights under this section will not result in any discrimination by Conning. Please note that certain conditions and exemptions apply to the exercise of many of these rights and so you will not be able to exercise them in all situations. However, we will consider and evaluate each request that we receive and respond in accordance with our legal obligations.

If you prefer, you may also contact us via physical mail at:

Conning
One Financial Plaza
Hartford,
CT 06103, USA

Last Updated: April 28, 2026

EEA/UK PRIVACY NOTICE

SCOPE

This Privacy Notice provides additional information to data subjects in the United Kingdom (“**UK**”), the European Economic Area (“**EEA**”) and Switzerland and supplements (so should be read alongside) the Privacy Policy.

In line with Conning Holdings Limited’s (“**Conning**”/“**we**”/“**us**”/“**our**” as applicable) commitment to safeguarding the privacy and confidentiality of the personal data you have entrusted to it, we have published and regularly updated this privacy notice, in which we explain the way we collect and process your information provided to us in or from the UK, EEA and Switzerland (the “**EEA/UK Privacy Policy**”). By providing your information to Conning (whether via one of the channels as stipulated under the section “*Collecting Your Information*” of the general [Privacy Policy](#) (the “**General Privacy Policy**”) or otherwise), you acknowledge the processing set out in this EEA/UK Privacy Policy. Further notices highlighting certain uses Conning wishes to make of your Personal Data together with the ability to opt in or out of selected uses may also be provided to you when Conning collects Personal Data from you if and when required under EEA or UK law.

This EEA/UK Privacy Policy should be read together with the General Privacy Policy and intends to supplement the General Privacy Policy of Conning to provide additional information to you in circumstances where we need to process or use any Personal Data (defined below) in or from the EEA, UK or Switzerland. For use or processing of data that is not in or from EEA, UK or Switzerland, please refer to Conning’s other privacy policies/notices.

If you are an existing client, former client, prospect, business partner or vendor (or trustee, officer, owner or employee of an existing client, former client, prospect, business partner or vendor) of Conning, further details about how Conning uses your Personal Data is set out in your investment management agreement or fund subscription documents, marketing materials or other applicable agreements with Conning. Please refer to those applicable agreements instead.

If you have any questions in relation to this EEA/UK Privacy Policy and wish to contact us, please refer to the “*Contact Us*” section below.

PURPOSES AND LAWFUL BASIS FOR PROCESSING

When we use your personal information, we ensure we have a lawful basis to do so. We will generally rely on the one or more of the following lawful bases:

- **Performance of a contract:** this is relevant where we collect and use your personal information where necessary to enter into a contract with you or to perform our obligations under a contract with you.
- **Legitimate interest:** this is relevant where we use your personal information where it is necessary for our legitimate interests and those interests do not override your rights.
- **Compliance with law or regulation:** this is relevant where we use your personal information where it is necessary to comply with applicable laws.
- **Necessity in connection with a legal claim:** this is relevant where your information is necessary for us to defend or make a legal claim.

- **Consent:** As set out below, there are limited circumstances where we will need your consent to process your personal information. In instances where you give your consent for us to process your personal information, you are able to withdraw your consent at any anytime by contacting us at+1 860.299.2020.

In the relevant table below, we have set out the relevant lawful basis that applies to each processing activity for which we use your personal information.

Visitors and users of our Online Resources, Customers, Vendors and Job Applicants

Processing activity	Types of personal information processed	Lawful basis for processing
Correspondence, responding to your inquiries	<ul style="list-style-type: none"> • Name • Email address • Telephone number • Responses to online forms • SMS 	Legitimate interest (to provide our services)
Direct marketing (not applicable to vendors or job applicants)	<ul style="list-style-type: none"> • Name • Email address • Telephone number • Responses to online forms • SMS • Marketing preferences 	In the UK we typically rely on Legitimate interest (to inform you about our products and services offered to businesses) In jurisdictions where consent is required for this type of marketing, we rely on Consent .
Event registration	<ul style="list-style-type: none"> • Name • Postal address • Email address • Telephone number • Responses to online forms • Financial information • SMS 	Contract performance (where you have entered into an agreement to attend our event) Legitimate interest (to provide you with access to our events)
Applications via our website (job applicants only)	<ul style="list-style-type: none"> • Name • Email address • Telephone number • Responses to online forms • Application information including biographical and work experience information and any other data provided in connection with your application 	Legitimate interest (to consider your application)
Improve our website and services	<ul style="list-style-type: none"> • Website usage information (including cookies information, mobile device information, the type of browser and operating system you use, the date and time and length of your visit, the pages visited, graphics viewed and any documents downloaded, links to other sites you accessed from our online and mobile 	Legitimate interest (to improve our website and services)

	<p>resources or used to navigate to our online and mobile resources, IP address browser type and version as more fully detailed in our cookies policy)</p> <ul style="list-style-type: none"> • Responses to online forms 	
Exercise and defense of legal claims	<ul style="list-style-type: none"> • Name • Postal address • Email address • Telephone number • SMS • Website usage information as described above • Where applicable, application information as described above 	<p>Legal obligation (where the processing activity is required by law or regulation)</p> <p>In all other cases, legitimate interests (to comply with our obligations)</p>
To re-organize or make changes to our business	<ul style="list-style-type: none"> • Name • Postal address • Email address • Telephone number • SMS • Marketing preferences • Website usage information as described above • Where applicable, application information as described above 	Legitimate interest (restructuring our business)
Understand our customers and potential customers better and tailor our products and services (not applicable to vendors or job applicants)	<ul style="list-style-type: none"> • Name • Postal address • Email address • Telephone number • SMS • Website usage information as described above (Customers (Global)) 	Legitimate interest (to ensure the quality and legality of Conning's services, to allow Conning to improve its services and to allow Conning to provide you with the content and services on the websites)
<p>To comply with legal or regulatory requirements</p> <p>Conning may process your Personal Data to comply with its regulatory requirements or dialogue with its regulators or defend or prosecute claims as applicable which may include disclosing your Personal Data to third parties, the court service and/or regulators or law enforcement agencies in connection with enquiries, proceedings or investigations by such parties anywhere in the world. For example, the transaction and trade reporting Conning needs to undertake in order to comply with Conning's obligations under MiFID II. Where permitted, Conning will direct any such request to you or notify you before</p>	<ul style="list-style-type: none"> • All categories of personal data collected (as outlined above), to the extent relevant 	<p>Legal obligation (to the extent we are legally required to undertake the processing). Otherwise,</p> <p>Legitimate Interest (to cooperate with law enforcement and regulatory authorities)</p>

responding unless to do so would prejudice the prevention or detection of a crime.		
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Additional processing undertaken in respect of customers, only

If you are a customer located in the UK, EEA or Switzerland, we will undertake the following additional processing of your personal data:

Processing activity	Types of personal information processed	Lawful basis for processing
Providing products and services to you and to inform you of any changes	<ul style="list-style-type: none"> Name Postal address Email address Telephone number Financial information Responses to online forms SMS 	<p>Contract performance (where you have entered into an agreement to receive our products and services)</p> <p>In all other cases, legitimate interest (to provide products and services)</p>
Verify your identity, protect against fraud and manage risk	<ul style="list-style-type: none"> Name Postal address Email address Telephone number SMS Information relating to political views 	<p>Legitimate interest (to ensure that you fall within Conning's acceptable risk profile and to assist with the prevention of crime and fraud)</p> <p>When we process special category data, we rely on substantial public interest (prevention of crime)</p>
Monitor certain activities (service quality and compliance with procedures)	<ul style="list-style-type: none"> Name Postal address Email address Telephone number Financial information Responses to online forms SMS 	<p>Legitimate interest (to ensure the quality and legality of Conning's services)</p>

INTERNATIONAL TRANSFERS

As an international company, we may store or transfer your personal information to other Conning entities around the world and particularly to our headquarters located in the USA. Where this is the case, we will ensure that the importing jurisdiction offers an adequate level of data protection, or we will provide the personal information under a comprehensive, flexible, and global compliance framework which implements appropriate measures and safeguards to ensure that your personal information is protected in accordance with applicable data protection laws.

Where we make an international data transfer, we generally will rely on the EU Standard Contractual Clauses (together with the UK International Data Transfer Addendum, where applicable) which include safeguards to provide for an adequate level of data protection unless another safeguard derogation applies.

Please contact us at the details shown below if you would like to see a copy of the specific safeguards applied to the export of your personal information.

RETENTION

Our retention periods for personal information are based on business needs and legal requirements. We will retain your personal information while we are using it, for purposes described above. We may continue to retain it after we have ceased such uses for certain legitimate business purposes.

The length of time for which we will retain your personal information will depend on the purposes for which we need to retain it. After we no longer need to retain your personal information, we will delete it or securely destroy it in accordance with our records retention policy.

YOUR RIGHTS

You have a number of rights in relation to your personal information, which are summarized below.

Access. You have the right to access and receive a copy of your personal information, and other supplementary information.

Rectification. You have the right to have inaccurate personal information rectified or completed if it is incomplete.

Objection. You have the right, when we process personal information on the grounds of legitimate interests, to object to the processing of your personal information for compelling and legitimate reasons relating to your particular situation, except in cases where legal provisions expressly provide for that processing. In addition, you have the right to object at any time where your personal information is processed for direct marketing purposes, please contact us using the details shown below if you would like to do this.

Portability. You have the right to receive your personal information that you have provided to us in a structured, commonly used and machine-readable format and have the right to transmit them to other data controllers without hindrance. This right only exists if the processing is based on your consent or a contract and the processing is carried out by automated means.

Restriction. You may request to restrict processing of your personal information (i) while we verify your request – if you have contested the accuracy of the personal information about you which we hold; (ii) if the processing is unlawful and you oppose the erasure of it and request restriction instead; (iii) if we no longer need it, but you tell us you need it to establish, exercise or defend a legal claim; or (iv) while we verify your request if you have objected to processing based on public or legitimate interest.

Erasure. You may request to erase your personal information if it is no longer necessary for the purposes for which we have collected it, you have withdrawn your consent and no other legal grounds for the processing exist, you objected and no overriding legitimate grounds for the processing exist, the processing is unlawful, or erasure is required to comply with a legal obligation.

Right to refuse or withdraw consent. Please note that in case we ask for your consent to certain processing, you are free to refuse to give consent and you can withdraw your consent at any time without any adverse negative consequences. Please contact us using the details shown below if you would like to withdraw consent to direct marketing.

Right to lodge a complaint. You also have the right to lodge a complaint with a supervisory authority, in the jurisdiction of your residence, or the location where the issue that is the subject of the complaint occurred.

- Details of the UK data protection authority can be found at www.ico.org.uk.
- Details of the applicable data protection supervisory authority for EEA jurisdictions can be found at https://edpb.europa.eu/about-edpb/about-edpb/members_en.
- Our primary EU regulator is:
 - Die Bundesbeauftragte für den Datenschutz
 - und die Informationsfreiheit
 - Husarenstraße 30
 - 53117 Bonn
 - Tel. +49 228 997799 0; +49 228 81995 0
 - Fax +49 228 997799 550; +49 228 81995 550
 - e-mail: poststelle@bfdi.bund.de
- Details of the Swiss data protection supervisory authority can be found at <https://www.edoeb.admin.ch/edoeb/en/home.html>.

Please note that certain conditions and exemptions apply to the exercise of many of these rights and so you will not be able to exercise them in all situations. However, we will consider and evaluate each request that we receive and respond in accordance with our legal obligations.

Entity name	Address	Data Protection Contact
Conning Asset Management Limited	24 Monument Street, London, EC3R 8AJ, UK	Paul Martindale, Director, Compliance +44 2073 371958 Paul.Martindale@conning.com
Conning (Germany) GmbH	Gertrudenstr. 30-36, 50667 Köln Nordrhein-Westfalen, Germany	Sylvia Carduck, Legal Assistant, Conning +49 221 96750448 Sylvia.Carduck@conning.com
Conning, Inc.	One Financial Plaza, Hartford, CT 06103, USA	Sophia Hill, Vice President, Investment Risk +1-212-247-1521 Sophia.Hill@conning.com
Conning & Company	One Financial Plaza, Hartford, CT 06103, USA	Sophia Hill, Vice President, Investment Risk +1-212-247-1521 Sophia.Hill@conning.com

Last Updated: September 18, 2024

HONG KONG PRIVACY POLICY

SCOPE

In line with Conning Holdings Limited's ("**Conning**"/"**we**"/"**us**"/"**our**" as applicable) commitment to safeguarding the privacy and confidentiality of the personal data you have entrusted to it, we have published and regularly updated this privacy notice, in which we explain the way we collect and process your information provided to us in or from Hong Kong (the "**HK Privacy Policy**"). By providing your information to Conning (whether via one of the channels as stipulated under the section "*Collecting Your Information*" of the general [Privacy Policy](#) (the "**General Privacy Policy**") or otherwise), you acknowledge the processing set out in this HK Privacy Policy. Further notices highlighting certain uses Conning wishes to make of your Personal Data (defined below) together with the ability to opt in or out of selected uses may also be provided to you when Conning collects Personal Data from you if and when required under Hong Kong law.

This HK Privacy Policy should be read together with the General Privacy Policy and intends to supplement the General Privacy Policy to provide additional information to you in circumstances where we need to process or use any Personal Data in or from Hong Kong. For use or processing of data that is not in or from Hong Kong, please refer to Conning's other privacy policies/notices.

If you are an existing client, former client, prospect, business partner or vendor (or trustee, officer, owner or employee of an existing client, former client, prospect, business partner or vendor) of Conning, further details about how Conning uses your Personal Data is set out in the business agreements entered between you and Conning, marketing materials or other applicable documents with Conning. Please refer to those applicable documents instead.

If you have any questions in relation to this HK Privacy Policy and wish to contact us, please refer to the "*Contact Us*" section below.

PERSONAL DATA AND DATA PROCESSOR UNDER HONG KONG LAW

When we use the term "**Personal Data**" in this HK Privacy Policy, we mean any information provided by you (i) relating directly or indirectly as a living individual, (ii) from which it is practicable for the identity of the individual to be directly or indirectly ascertained, and (iii) in a form in which access to processing of the data is practicable in accordance with the Hong Kong Personal Data (Privacy) Ordinance (Cap. 486) ("**PDPO**"). For example, it may include details such as your name and address, age, personal financial records, identification numbers including your ID information and other personal references that allow us to identify you or ascertain your identity directly or indirectly.

When we use the term "**Data Processor**", we refer to a person who processes Personal Data on our behalf and does not process the Personal Data for any of that person's own purposes in accordance with the PDPO.

When we use the term "**Site**", it shall have the same meaning as given by the General Privacy Policy.

INFORMATION WE COLLECT AND SHARING OF INFORMATION

For types of information we collect from you, please refer to the section "*Collecting Your Information*" under the General Privacy Policy. We collect this information for the specified purposes as listed in the section "*Using Your Information*" under the General Privacy Policy. For details, please refer to the General Privacy Policy.

If permitted by the law, Conning may rely on certain exemptions from performing some compliance requirements under the PDPO in particular circumstances.

In some occasions we may share your information with Conning's affiliates and/or third parties, as stipulated in the section "*Sharing Your Information*" under the General Privacy Policy. In addition to the circumstances as set out in the section "*Sharing Your Information*" under the General Privacy Policy, These may also include:

- a) **Sharing outside Conning to third parties**, including to anti-fraud organizations, legal, regulatory or law enforcement authorities in cases of suspected criminal activity or contravention of law, for the detection and prevention of fraud, or when required to

satisfy the legal or regulatory requirements of governments, regulatory authorities or other self-regulatory organizations, or to comply with a court order, or for the protection of Conning's assets;

- b) **Sharing within Conning:** with other Conning entities for the specified purposes under the section "*Sharing Your Information*" under the General Privacy Policy;
- c) **Transfer of assets or business or reorganization:** Over time, Conning may buy new businesses/assets or sell some of its businesses/assets. Accordingly, Personal Data associated with any accounts, products or services of the business being purchased or sold will be reviewed as part of the due diligence process and subsequently transferred as a business asset to the new business owner. Conning may also transfer Personal Data as part of a corporate reorganization or other change in corporate control.
- d) **Sub-contractors and agents:** Conning may use affiliates or other companies to provide services on its behalf such as data processing, account administration, fraud prevention and detection, analytics and marketing. Such companies will be given only the Personal Data needed to perform those services and Conning does not authorize them to use or disclose Personal Data for their own marketing or other purposes. Conning has contracts in place holding these companies to comply with the requirements under the PDPO as Data Processors.

If we consider that it is necessary for Conning to use your Personal Data for a new purpose which has not been specified in the General Privacy Policy or in this HK Privacy Policy, or to transfer such data to a new class of persons which has not been identified in the General Privacy Policy or in this HK Privacy Policy, we will inform you as to whether it is obligatory for you to supply such data and the consequences if you decide to withhold consent for us to do, either by way of a further notice with the ability to opt in or opt out or otherwise.

DIRECT MARKETING

Direct marketing is Conning's communication with you such as mail, telemarketing or email, using your contact information, to inform you about products and services that Conning thinks may be of interest and value to you. This may include communications regarding products or services that you currently have, including improved ways to use the products, or additional features of the products as well as transactional information.

While it is stipulated under the section "*Marketing and Other Correspondence*" in the General Privacy Policy that you may choose to opt out by following the opt-out instructions described in the marketing materials, for data subjects in Hong Kong, in relation to direct marketing, Conning will obtain your explicit consent before using your Personal Data for this purpose. Therefore, if you prefer not to receive Conning's direct marketing communications and/or not to have your personal data shared among Conning entities or other third parties for the purpose of direct marketing, you can withhold your consent such that your Personal Data will not be included in Conning's direct marketing lists or sharing lists. We recognize that under the PDPO, consent for use or transfer of Personal Data for use in direct marketing must be explicit, hence either silence or non-response will not be considered valid consent.

If you have given your consent to us for using/transferring your Personal Data for the purpose of direct marketing, we will notify you of your opt-out right when using your Personal Data in this manner for the first time. You may, however, choose to opt out at any time by writing to our Compliance Team if you wish us to cease using/transferring your Personal Data to third parties for use in direct marketing activities, irrespective of whether prior consent has been given by you previously. For contact details of our Compliance Team, please refer to the "*Contact Us*" section below.

Notwithstanding the foregoing, the consent for conducting direct marketing may not be required if we do any marketing that relies on direct communication or distribution to an individual in the official capacity in an corporation (as compared to his person capacity) and the nature of the products or services are for the corporation use only (instead of personal use), otherwise, explicit consent from the individual would be required.

RETENTION PERIOD

As required under the PDPO, Conning shall take all practicable steps to ensure that your Personal Data is not kept longer than is necessary for the fulfillment of the specified purposes (including any directly related purpose(s)) for which the data is or is to be used and shall procure all Data Processors processing your Personal Data on Conning's behalf to do so. For details, please refer to the "*Retention period*" section under the General Privacy Policy.

SECURITY OF YOUR PERSONAL DATA

While we agree to take reasonable steps to protect any personally identifiable information you choose to provide via the Site from unauthorized access and shall adopt contractual or other means to prevent any of your Personal Data from (i) unauthorized or accidental access, processing, erasure, loss or use of the data or (ii) such Personal Data being kept longer than is necessary if we engage a Data Processor to process your Personal Data (whether within or outside Hong Kong), as mentioned in our General Privacy Policy, no security measures are perfect or impenetrable, and no method of data transmission can be guaranteed against any interception or other type of misuse. Please, therefore, keep this in mind when disclosing any personal or personally identifiable information via the Site. As mentioned in our General Privacy Policy, please also help ensure security via the Internet by keeping your browser up to date with automatic security updates enabled.

YOUR RIGHTS

In addition to the above, Conning recognizes that you as data subjects have certain rights under Hong Kong law which you can request Conning to fulfill:

- a) The right to ascertain Conning's policies and practices in relation to Personal Data;
- b) The right to be informed of the kind of Personal Data held by Conning;
- c) The main purposes for which Personal Data held by Conning is or is to be used;
- d) The right to ascertain whether Conning holds any Personal Data of you of which you are the data subject;
- e) The right to request access to your Personal Data within a reasonable time, at a fee that is not excessive (if any), in a reasonable manner and in a form that is intelligible;
- f) The right to be given reasons if a request to access your Personal Data is refused;
- g) The right to object to a refusal referred to in paragraph f) above;
- h) The right to request correction of Personal Data;
- i) The right to be given reasons if a request to correct your Personal Data is refused; and
- j) The right to object to a refusal referred to in paragraph i) above.

You may request to exercise these rights (subject to certain exemptions under the law) at any time by writing to our Compliance Team. For contact details, please refer to the "*Contact Us*" section below.

Exercising your rights under this section will not result in any discrimination by Conning, and we will consider and evaluate your request(s) in accordance with our legal obligations.

CONTACT US

If you have any questions or concerns about this HK Privacy Policy or if you want to change your privacy preferences, please let Conning know. The point of contact in Hong Kong is:

Name: Compliance Team

Email address: hkcompliance@conning.com

Last Updated: September 18, 2024

中国大陆地区隐私政策

Mainland China Privacy Policy

在本中国大陆地区隐私政策中（下称“本中国隐私政策”），Conning Holdings Limited（下称“康利”）描述了康利通过您使用康利网站所处理的您的个人信息的类型、目的、存储期限、如何跨境提供您的信息、您的权利以及如何行使这些权利等内容。根据适用的法律法规更新要求或其他业务要求，康利可能不时修改或更新本中国隐私政策。

In this Mainland China Privacy Policy (this “**China Privacy Policy**”), Conning Holdings Limited (“**Conning**”) describes the types, purposes and storage periods of Conning’s processing your information through your use of Conning’s website and how your information is provided across borders, your rights and how to exercise those rights. Conning may modify or update this China Privacy Policy from time to time as required by updates on applicable laws or regulations or other business requirements.

本中国隐私政策应与一般隐私政策（下称“一般隐私政策”）一起查阅，旨在补充一般隐私政策，以便在我们需要处理或使用在中国大陆或来自中国大陆的任何个人数据的情况下向您提供额外信息。对于使用或处理不在中国大陆或非来自中国大陆的数据，请参阅康利的其他隐私政策/声明。

This China Privacy Policy should be read together with the [general privacy policy](#) (the “**General Privacy Policy**”) and intends to supplement the General Privacy Policy to provide additional information to you in circumstances where we need to process or use any personal data in or from Mainland China. For use or processing of data that is not in or from Mainland China, please refer to Conning’s other privacy policies/notices.

如果您是康利的现有客户、前客户、潜在客户、业务合作伙伴或供应商（或现有客户、前客户、潜在客户、业务合作伙伴或供应商的受托人、管理人员、所有者或雇员），有关康利如何使用您的个人数据的更多详细信息，请参阅您与康利签订的商业协议、营销材料或其他适用文件。请参考这些适用的文件。

If you are an existing client, former client, prospect, business partner or vendor (or trustee, officer, owner or employee of an existing client, former client, prospect, business partner or vendor) of Conning, further details about how Conning uses your personal data is set out in the business agreements entered between you and Conning, marketing materials or other applicable documents with Conning. Please refer to those applicable documents instead.

如果您对本中国大陆隐私政策有任何疑问，并希望与我们联系，请参阅下文“如何联系康利”部分。

If you have any questions in relation to this Mainland China Privacy Policy and wish to contact us, please refer to the “How to Contact Conning” section below.

收集的信息和目的

Information Collected and the Purpose

当您使用康利网站时，康利及其第三方供应商将收集您自愿提供的姓名、公司名称、所任职部门、手机号码、电子邮件地址。康利还可能收集和存储您通过其他方式提供的个人信息。例如，当您注册以获取某些服务或产品或提交信息以获取营销或其他促销材料时，康利会收集个人信息。康利使用这些信息与您沟通，并为您提供所需的服务和/或产品和/或回答您的询问。康利还可能为您提供信息、特别优惠和促销。如果您拒绝提供必填信息，康利将无法向您提供产品或服务，也无法回答您的任何询问。您也可以选择向康利提供您的职务信息。

When you use Conning’s website, Conning and its third party vendors will collect your name, company name, your department, cell phone number, and email address that you voluntarily provide. Conning may also collect and store personal information that you have provided through other means. For example, Conning collects personal information when you register to receive certain services or products or submit information to receive marketing or other

promotional materials. Conning uses this information to communicate with you and to provide you with your requested service and/or product and/or respond to your inquiries. Conning may also provide you with information, special offers and promotions. If you refuse to provide the required information, Conning will not be able to provide you with products or services or respond to any inquiries you may have. You may also choose to provide your job title information to Conning.

信息的跨境传输和存储

Cross Border Transfer and Storage of Information

为实现上述目的以及满足康利的全球统一管理，康利会将在中国境内收集的您的上述个人信息提供出境，并由康利或其附属公司、服务提供商和/或合作伙伴在中国境外进行存储和处理。您可以通过下文载明的联系方式与康利取得联系以行使您的个人信息保护相关权利。

In order to achieve the above purposes and to satisfy the global management of Conning, the above personal information collected within China will be transferred abroad and processed and stored outside of China by Conning or its affiliates, services providers and/or partners. You may exercise your rights with respect to the protection of your personal information by contacting Conning at the contact information as set forth below.

康利仅在为实现上述目的所必要的最短时间保存您的信息，或在适用法律或法规要求的情况下将您的信息保留更长时间。存储期限届满后，康利会对相关个人信息进行删除或匿名化处理。Conning will retain your information only for as long as necessary to achieve the above purposes, or longer if required by applicable laws or regulations. Upon expiration of the storage period, Conning will delete or anonymize the relevant personal information.

信息的安全保护

Safety Protection of Information

在对您的个人信息进行处理时，康利将遵守有关强制性法律条款，并采取必要而适当的措施以保护您的个人信息，并防止未经授权的访问以及个人信息泄露、篡改、丢失。但互联网环境并不安全，您需充分理解互联网所带来的风险，如发生个人信息安全事故，康利将按照适用法律法规的要求及时告知您，并按照监管部门的要求上报安全事故的处置情况。

When processing your personal information, Conning will abide by the relevant mandatory legal provisions, and take necessary and appropriate measures to protect your personal information and prevent unauthorized access, disclosure, tampering and loss of personal information. However, the Internet environment is not safe, so you need to fully understand the risks brought by the Internet. In case of personal information security accidents, Conning will timely inform you in accordance with the requirements of applicable laws and regulations and report the disposal of security accidents in accordance with the requirements of the regulatory authorities.

您的权利

Your Rights

您有权查阅康利通过您使用康利网站所收集的您的个人信息，您还可以要求康利更正、补充或删除您的个人信息，或者要求康利向您提供所收集的您的个人信息副本以及在符合法律法规规定或符合监管部门规定条件的情况下向第三方转移您的个人信息。如您想行使上述权利，您可以通过下文载明的联系方式提出您的请求。

You have the right to access your personal information collected by Conning through your use of Conning's website. You can also ask Conning to correct, supplement or delete your personal information, or ask Conning to provide you with a copy of your personal information collected, and transfer your personal information to a third party in accordance with laws and regulations or the conditions specified by the regulatory authorities. If you want to exercise the above rights, you can make your request through the contact information as specified below.

如果您希望限制或拒绝康利对您的个人信息的处理，您可以通过下文提供联系方式联系康利。需提醒您注意的是，当您限制或拒绝康利处理您的相关个人信息，您可能无法获取您需要的服务和/或产品。如果您希望获得康利对本大陆隐私政策的解释说明，您也可以通过相同的方式联系康利。

If you want to restrict or refuse Conning's processing of your personal information, you can contact Conning through the contact information as provided below. Please note that when you restrict or refuse Conning to process your relevant personal information, you may not be able to receive your requested service and/or product. If you want to get Conning's explanation of this China Privacy Policy, you can also contact Conning in the same way.

如果您希望撤回对康利处理您个人信息的同意，您可以通过下文载明的联系方式联系康利。当您撤回您的授权同意后，康利可能无法继续为您提供该等授权所对应的服务，也不再处理撤回同意所对应的个人信息。但您撤回同意的决定，不会影响此前康利基于您的同意而开展的个人信息处理。

If you wish to withdraw your consent to Conning's processing of your personal information, you can also contact Conning through the contact information as specified below. When you withdraw your consent, Conning may not be able to continue to provide you with the services corresponding to such consent, nor will Conning process the personal information corresponding to such consent. However, your decision to withdraw your consent will not affect the personal information processing previously carried out by Conning based on your consent.

如何联系康利

How to Contact Conning

如果您对本大陆隐私政策有任何疑问或意见，或希望行使上文说明的您在个人信息处理活动中的权利，请通过以下方式联系康利：hkcompliance@conning.com。一般情况下，收到您的请求或反馈后，康利将在十五个工作日内回复。

If you have any questions or comments on this China Privacy Policy, or you want to exercise your rights in personal information processing activities described above, please contact Conning through the following ways: hkcompliance@conning.com. Generally, Conning will reply within 15 working days after receiving your request or feedback.